

# CARBON DIOXIDE ENHANCEMENT OF INHALATION THERAPY

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## BACKGROUND OF THE INVENTION

### 10 Cross-reference to related application

This patent application claims benefit of priority of provisional application, U.S. Serial No. 60/169,038, filed December 4, 1999, now abandoned.

### 15 Field of the Invention

The present invention relates generally to the fields of pharmacology and drug delivery. More specifically, the present invention relates to a method of using carbon dioxide gas to increase pulmonary deposition of an aerosolized drug during  
20 inhalation therapy.

## Description of the Related Art

Small particle liposome aerosol treatment consists of lipid-soluble or water-soluble anti-cancer drugs incorporated into liposomes, which are administered from aqueous dispersions in a jet nebulizer (see U.S. Patent No. 5,049,388). Aerosols of 1-3  $\mu\text{m}$  mass median aerodynamic diameter, generated upon nebulization, enable targeted delivery onto surfaces of the respiratory tract. The deposited liposomes subsequently release drug locally within the lung or into the blood circulation with delivery to extra-pulmonary tissue.

If the drug is lipid soluble, it will associate with the lipid molecules in a manner specific to the lipid employed, the anti-cancer drug employed and possibly it may be modified further by various soluble constituents which may be included in the suspending aqueous medium. Such soluble constituents may include buffering salts and possibly inositol to enhance the synthesis and secretion of surfactant phospholipid in lung tissue and to minimize respiratory distress already present or that which might result from the aerosol treatment (7).

If the drug is water soluble, it may be incorporated by appropriate procedures in aqueous vesicles that exist in concentric

spaces between lipid bilayers (lamellae) of the multilamellar liposome. Unilamellar liposomes may be prepared; however, their capacity to entrap either lipid-soluble or water-soluble drugs is diminished since entrapment is restricted to one central vesicle.

5 Aerosol water droplets may contain one or more drug-liposomes. Moreover, it is also possible to incorporate more than one drug in a aerosol liposome treatment, either by mixing different drug-containing liposomes, or by using liposomes wherein the drugs have been combined and incorporated together into liposomes.

10 Nebulization shears liposomes to sizes readily discharged from the nozzle of the nebulizer. Liposomes up to several microns in diameter are typically sheared to diameters of less than 500 nm, and may be considerably smaller than that depending on the operating characteristics of the nebulizer and other variables.

15 Shearing of water-soluble drugs contained in liposomes will release appreciable amounts of the water soluble compound, perhaps 50 percent. This is not a contraindication to their use, but it means that two forms of the drug preparation is administered, and the effect includes the therapeutic effect that would be produced by  
20 both forms if either form had been given alone. Many other details

of liposome aerosol treatment are described in U.S. Patent No. 5,049,388.

In general, the underlying objective of inhalation therapy is the topical delivery of aerosolized particles of pharmaceutical  
5 drugs into the central airways and to peripheral regions of the respiratory tract. However, the deposition fraction of the inhaled particles even for the optimal size range of 1-2  $\mu\text{m}$  mass median aerodynamic diameter is only approximately 20%. Pulmonary deposition of inhaled aerosols is influenced significantly by particle  
10 size, hygroscopic properties and airway geometry (1,2). The breathing pattern is also an important variable that determines the deposition pattern of inhaled particles (1,2).

Specifically, breath holding markedly increases pulmonary deposition due to increased residence time of particles  
15 within the lung. This allows a longer period for gravity sedimentation to occur especially in the small peripheral airways and to ensure that the aqueous particles can equilibrate fully in the near 100% humidity and reach their maximum size, which further enhances their deposition (1,2). Computer simulations demonstrate  
20 that a ~~thirty-second~~ breath holding maneuver in humans can increase the deposition fraction 3.2 times. The physiological

principle of this effect is due to increased particle intake upon deep inspiration in which the inhaled volume may be as much as 8-fold higher than the amount inhaled with basal tidal breathing. This larger volume of tidal breathing leads to penetration of particles to the furthest recesses of the lung where airway diameters are smallest, and thus deposition due to gravity and maximum particle size occurs with greatest efficiency.

By extension of this physiological property, direct utilization of factors which could increase the volume of inspired air (containing aerosol particles) would subsequently markedly increase the deposited fraction in the central airways and to an even greater extent in the peripheral lung. Carbon dioxide ( $\text{CO}_2$ ) is the most important natural regulator of respiration. Carbon dioxide diffuses freely from the tissues into the blood according to the existing pressure gradient. Increased levels of carbon dioxide in the blood readily diffuse into the cerebrospinal fluid where there is conversion into  $\text{HCO}_3^-$  and  $\text{H}^+$ . Central chemoreceptors on the ventral surface of the medulla respond to increased  $\text{H}^+$  in the CSF and cause a compensatory increase in ventilation (rate and tidal volume).

Investigators have utilized carbon dioxide inhalation to manipulate ventilation in experimental animals and humans. Inhalation of 5% carbon dioxide causes as much as 192% increase in tidal volume (3). This increase is rapid and reaches a sustained plateau throughout the duration of exposure (4). Once the carbon dioxide exposure ceases, the changes in ventilation reverse within minutes to basal level (4). Similarly, inhalation of 5% carbon dioxide by humans results in a 3-fold increase in the minute volume (5). Inhalation of 5% or 7.5% of carbon dioxide by normal humans for two minutes resulted in increases in frequency of breathing by 6.7% and 19%, respectively, and increases in tidal volumes by 31% and 52%, respectively, so that minute volumes were increased by 34% and 75%, respectively (6). Longer exposures to these concentrations would have produced even greater responses (5).

Camptothecin analogues and taxanes are chemical agents currently being developed as chemotherapeutic agents (21,26). The anticancer drugs, paclitaxel (PTX) and different camptothecin (CPT) derivatives are clinically active in the treatment of a variety of human tumors, including lung cancer. These drugs show beneficial results in clinical trials when used as single agents or in combination with other drugs (21). These drugs are given systemically by oral

or intravenous routes of administration; the most effective route for paclitaxel is continuous intravenous infusion (22,24) whereas lipophilic congeners of camptothecin administered orally prove most effective.

5           The development of toxic side effects is often a major limitation in such therapeutic regimens. Several subcutaneous human cancer xenografts in nude mice (23) and in experimental murine pulmonary metastasis (6) have been successfully treated using liposomal formulations of camptothecin and 9-  
10 nitrocamptothecin (9NC) administered by the aerosol route as an alternative method of therapy. Pharmacokinetic studies in mice with camptothecin showed that inhalation of liposomal camptothecin produced substantial drug levels in the lungs and other organs, which cleared rapidly after cessation of aerosol  
15 delivery (17). In spite of these levels, aerosol delivery systems are generally only 15-20% efficient in drug deposition (29, 30); thus increasing pulmonary deposition would be advantageous.

          Using these systemic routes of drug delivery, a certain amount of drug egresses from the blood stream and localizes in the  
20 respiratory tissue, but lungs are not the main organs for drug

deposition. The utilization of conventional liposomes as carriers for these drugs does not improve the pulmonary deposition of drugs administered by commonly used systemic routes (11,27). Nebulization is a very effective route for target drug delivery to the  
5 respiratory tract (17); e.g., camptothecin. Dogs with spontaneously arising primary and metastatic lung tumors have been successfully treated when new formulations of doxorubicin and PTX are delivered via aerosolization (16). However in these instances, aerosols were generated using normal air.

10 Gene delivery to different tissues has been accomplished using both viral and nonviral vectors. Although the use of nonviral vectors avoids the immunogenic response associated with viral vectors, nonviral vectors, such as cationic lipids and polycationic polymers, have not been associated generally with the high levels of  
15 gene expression characteristic of viral vectors. However, polyethyleneimine (PEI), a cationic polymer, is effective both in tissue culture and *in vivo* (36). The protonable nitrogen on every third nitrogen provides polyethyleneimine with a huge buffering capacity. Polyethyleneimine can effectively traffic DNA to the  
20 nucleus (37) and protect DNA against DNase degradation (36). Both linear and branched forms of polyethyleneimine have been



shown to produce high levels of transgene expression in various tissues such as lung, brain, and kidney (39-41). Polyethyleneimine has also been used to efficiently deliver DNA to tumors *in vivo* (42).

Aerosol delivery is a noninvasive way to deliver genes of interest to the lungs and could potentially be used to treat diseases such as lung cancer and cystic fibrosis. However, the levels of transgene expression have not been very high due, in some cases, to loss of DNA viability during nebulization (43). PEI can protect the DNA during nebulization (44) and can result in higher levels of transfection in the lung than most of the other cationic lipids tested (44,45). PEI-mediated transfection is also resistant to inhibition by lung surfactants (46).

Increased efficiency of drug deposition to the respiratory tract by the inhalation route is achieved by several ways: 1) changing the concentration of drug in the formulation used for aerosolization (31); 2) using more efficient types of nebulizers (32); 3) increasing the duration of treatment; or 4) changing the breathing patterns (4). As previously stated, carbon dioxide is a natural modulator of respiration. The inhalation of air containing low concentrations of CO<sub>2</sub> (from about 3-7%) caused similar changes in breathing patterns and was tolerated well (13, 6). No

difference in breathing patterns was observed between inhalation of 5%CO<sub>2</sub>-in-air and moderate physical exercise in man (32). Similar effects of 5%CO<sub>2</sub>-in-air may be obtained in man using aerosol treatment. Thus utilization of CO<sub>2</sub>-enriched air for nebulization as a  
5 modulator of inhalation therapy can result in more effective pulmonary delivery of chemotherapeutic agents.

The prior art is deficient in the lack of a means of enhancing the pulmonary deposition of an aerosolized drug during inhalation therapy. The present invention fulfills this longstanding  
10 need and desire in the art.

### SUMMARY OF THE INVENTION

15 The present invention provides a method of increasing the deposition of aerosolized drug in the respiratory tract of an individual or animal, comprising the step of administering said aerosolized drug in an air mixture containing up to about 10% carbon dioxide gas. 2.5%, 5%, and 7.5% carbon dioxide  
20 concentrations have been used herein. The aerosol may be administered for 1 to 30 minutes or even longer. The administered

drug may be a soluble drug, an insoluble drug or a therapeutic composition, e.g., oligonucleotide, gene, peptide, or protein, that may be dissolved in solution and directly aerosolized with a jet nebulizer or incorporated into a carrier such as liposomes, slow  
5 release polymers or polycationic polymers prior to aerosolization.

Other and further aspects, features, and advantages of the present invention will be apparent from the following description of the presently preferred embodiments of the invention given for the purpose of disclosure.

10

## BRIEF DESCRIPTION OF THE DRAWINGS

So that the matter in which the above-recited features,  
15 advantages and objects of the invention, as well as others which will become clear, are attained and can be understood in detail, more particular descriptions of the invention briefly summarized above may be had by reference to certain embodiments thereof which are illustrated in the appended drawings. These drawings form a part  
20 of the specification. It is to be noted, however, that the appended

drawings illustrate preferred embodiments of the invention and therefore are not to be considered limiting in their scope.

**Figure 1** shows the tissue distribution of camptothecin after a 30 min exposure to liposome aerosol generated with normal air (solid) or with 5%CO<sub>2</sub>-enriched air (hatched). At the end of treatment (30 min) organs from three mice per group are resected and the drug content determined by HPLC. Mean values with SD are calculated. P values for 5%CO<sub>2</sub>-air compared to normal air are 0.02, 0.13, 0.04, 0.04, 0.03, and 0/01 for lungs, liver, spleen, kidney, blood and brain, respectively (Student's t-test, two-tailed).

**Figure 2** shows the pulmonary concentration-time curve for CPT-liposomes administered for 30 min. by aerosol generated with normal air (O) or with 5%CO<sub>2</sub>-enriched air (•). For each time point lungs from three mice are resected and the drug content determined by HPLC. Mean values with SD are calculated.

**Figure 3** shows the pulmonary concentration-time curve for PTX-liposomes administered for 30 min. by aerosol generated with normal air (O) or with 5%CO<sub>2</sub>-enriched air (•). For each time point lungs from three mice are combined and the drug content determined by HPLC. Each experiment is repeated three times and mean values with SD are calculated.

**Figure 4** shows the comparison of tissue paclitaxel levels in the lungs of mice exposed to aerosols containing different liposomal formulations. Equivalent levels of exposure to paclitaxel are achieved in a 5% CO<sub>2</sub>-in-air aerosol of sterically stabilized paclitaxel-liposomes prepared from dimyristylphosphoethanolamine poly (ethylene glycol) 2000 as when DLPC is utilized.

**Figure 5** shows the comparison between CAT expression in lung by PEI-DNA aerosol generated using air or air containing 5% CO<sub>2</sub>. One milligram of CAT plasmid was complexed with PEI at an N:P ratio of 10:1 and the resulting complex aerosolized to mice for 30 min. The lungs are harvested after 24 h and the CAT assay is performed as described. Values are means $\pm$ SD (n=6 mice per group, P=0.001).

**Figure 6** shows the effect of percent CO<sub>2</sub> on the efficiency of PEI-DNA transfer to the lung by aerosol. Different percentages of CO<sub>2</sub>-in-air are used with a fixed amount of CAT plasmid. The complexes were aerosolized using 0%, 2.5%, 5%, 10% carbon dioxide and control. Mice are sacrificed, the lungs harvested, and the CAT assay was performed. Values are expressed as means  $\pm$ SD.

**Figure 7** shows that the gene expression in lung by PEI-DNA aerosol was dose dependent. Increasing doses of CAT plasmid were aerosolized using 5% CO<sub>2</sub>-in-air at a fixed N:P ratio of 10:1. There is an increase in both the total amount of DNA delivered and the concentration of PEI-DNA delivered. Mice were sacrificed after 24 h, the lungs are harvested, and the Cat protein is assayed. Values are means±SD (n=5 mice per group).

**Figure 8** shows the effect of N:P ratios on the efficiency of PEI-DNA transfer to the lung by aerosol. Different PEI-DNA (N:P) ratios are used with a fixed amount CAT plasmid (2 mg). The complex is aerosolized using 5% CO<sub>2</sub>-in-air. Mice are sacrificed after 24 h, the lungs are harvested, and the CAT assay is performed. Values are means±SD (n=5 mice per group).

**Figure 9** shows the effect of N:P ratios on luciferase gene expression in the lung. A fixed amount of luciferase plasmid (2 mg) is delivered at different N:P ratios. The complexes are aerosolized using 5% CO<sub>2</sub>-in-air. Mice are sacrificed 24 h after aerosol delivery, lungs are harvested, and luciferase activity is determined. Values are means±SD (n=5 mice per group).

**Figure 10** shows the time course of transgene expression after single PEI-DNA aerosol exposure. In **Figure 10A**

mice are delivered an aerosol containing 2 mg of CAT plasmid at a N:P ratio of 15:1 using 5% CO<sub>2</sub>-in-air. Mice are sacrificed at different time points and the lungs are harvested and immediately frozen. The CAT assay is performed after the last time point.

5 Values are means±SD (n=5 mice per time point). **Figure 10B** shows the persistence of CAT expression using two different N:P ratios. Both groups of mice (n=5 mice each per time point per group) are delivered 2 mg of CAT plasmid at a 15:1 or 10:1 NPP ratio using 5% CO<sub>2</sub>-in-air. The time points for the 10:1 ratio are 1, 2, 3, and 6 days  
10 post aerosol exposure and for the 15:1 ratio are 1, 3, 7, and 10 days post aerosol exposure.

**Figure 11** shows tissue distribution of transgene after single PEI-DNA aerosol exposure. The same groups of mice are used as in Figure 9 (from the 10:1 group). Different tissues are harvested  
15 and immediately frozen. The CAT protein is assayed after the last time point. Values are means±SD (n=5 mice per time point). Levels of CAT in non-lung tissues in the aerosol-exposed group are not different from the control tissues (P>0.1).

**Figure 12** shows the histological analysis of PEI-DNA  
20 aerosol-treated lungs. Two milligrams of CAT plasmid is complexed with PEI at a N:P ratio of 15:1 and the complex was aerosolized at

five mice for 30 min using 5% CO<sub>2</sub>-in-air. Mice are sacrificed 24 h later and lungs are harvested and fixed in formalin. Thin sections are stained with hemtoxylin and eosin (H&E). **Figure 12A:** bronchiole (control); **Figure 12B:** bronchiole (treated).

5 Magnification 100x.

**Figure 13** shows the inhibition of B16-F10 lung metastasis by PEI-p53 aerosol delivery. **Figure 13A:** Tumor index was calculated by the formula: Tumor index = lung weights x average grade for the group. Values are means  $\pm$  SD (n=10 mice per

10 group). **Figure 13B:** Representative lungs from control, PEI-Luc and PEI-p53 treated mice are presented (n=10 mice per group). Lungs from PEI-treated group (not shown) are similar in shape, size, and number of tumor foci to those shown for control and PEI-Luc-treated groups. Data are representative of two separate experiments.

15 **Figure 13C:** Lung weights of mice from different groups. Values are means  $\pm$  SD (n=10 mice per group).



## DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a method of increasing the deposition of aerosolized drug in the respiratory tract of an individual or animal, comprising the step of administering said aerosolized drug in an air mixture containing up to about 10% carbon dioxide gas. Preferred concentrations include 2.5%, 5% and 7.5% carbon dioxide gas. The aerosol may be administered for 1 to 30 minutes or even longer.

10 The instant invention is directed to the aerosol delivery of a water soluble drug. Such a drug may be directly prepared as a water solution or a buffered solution and directly aerosolized. Representative water soluble drugs include antibiotics like tobramycin and pentamidine; mucolytics like acetyl cysteine; 15 bronchodilators like albuterol; parasympathetic agents like ipratropium bromide; enzymes like DNase; and anti-virals like ribavirin.

Alternatively, the instant invention may be used to deliver an insoluble drug that is associated with a carrier prior to 20 aerosol delivery. Possible carriers include liposomes, slow release polymers and polycationic polymers. Liposomes are an especially

useful carrier for lipophilic drugs such as amphotericin B; nystatin; glucocorticoids; immunosuppressives like CsA, FK506, rapamycin or mycophenolate; and anti-cancer drugs like camptothecin, camptothecin derivatives, and paclitaxel. The liposomes may be  
5 formed from such lipids as the phospholipid dilauroylphosphatidylcholine (DLPC) or they may be sterically stabilized liposomes formulated with modified phospholipids such as dimyristylphosphoethanolamine poly(ethylene glycol) 2000. Slow release polymers, such as poly(lactic acid-co-glycolic acid)  
10 (PLGA), or polycationic polymers, such as polyethyleneimine (PEI), may be utilized.

The instant invention may also be applied to the delivery of therapeutic proteins, therapeutic peptides, DNA genes, sense oligonucleotides, anti-sense oligonucleotides, and viral vectors.  
15 Representative examples of DNA genes are the chloramphenical acetyl transferase gene (CAT ) or the p53 gene. Preferably, these genes are delivered via a polycationic polymer carrier such as polyethylenimine. Cationic liposomes also may be utilized as carriers. The polyethylenimine may have a nitrogen:phosphate ratio  
20 from about 10:1 to about 20:1. In a preferred embodiment, the PEI nitrogen:phosphate ratio is about 10:1.

The following definitions are provided. Terms not specifically defined are meant to be interpreted as is customary in the art.

As used herein, the term "aerosols" refers to dispersions  
5 in air of solid or liquid particles, of fine enough particle size and consequent low settling velocities to have relative airborne stability (8).

As used herein, the term "liposome aerosols" refers to aqueous droplets within which are dispersed one or more particles  
10 of liposomes or liposomes containing one or more medications intended for delivery to the respiratory tract of humans or animals (9).

As used herein, the size of the aerosol droplets defined for this application are those described in U.S. patent 5,049,338,  
15 namely mass median aerodynamic diameter (MMAD) of 1-3  $\mu\text{m}$  with a geometric standard deviation of about 1.8-2.2. However, with low concentrations of 9-NC and possibly other camptothecin derivatives, the mass median aerodynamic diameter may be less than 1  $\mu\text{m}$ , such as 0.8  $\mu\text{m}$ . Based on the studies disclosed by the present invention,  
20 the liposomes may constitute substantially all of the volume of the droplet when it has equilibrated to ambient relative humidity.

As used herein, the "Weibel Lung Model" refers to a classification of the structure of the human lungs that recognizes 23 consecutive branchings of the airways of humans. The trachea is labeled 0, bronchi and bronchioles extend through branches 16.

5 These portions of the airways contain ciliated epithelium and mucus glands. Together they constitute the mucociliary blanket. Branchings 17-23 compose the alveolar portion of the lung and do not have a mucociliary blanket. Thus, particles deposited here are not carried up the airway to be swallowed.

10 It is postulated herein that under controlled experimental conditions of hypercapnia, deposition of inhaled drug particles would greatly increase over levels observed during basal tidal breathing conditions. The use of carbon dioxide gas/air mixtures to drive continuous flow jet nebulizers could greatly  
15 increase the efficiency of the drug dose delivered to the peripheral lung (Weibel's generations 17-23). By analogy, this system could be effectively utilized to increase the biological efficiency of inhaled drugs. This concept could be theoretically employed with any drug, gene, oligonucleotide, or protein/peptide formulation (soluble,  
20 liposomal, crystalline, or polymer-based carrier such as polyethylenimine) and any gas or air driven jet nebulizer

The current invention is primarily directed toward the use of carbon dioxide gas to increase the depth and frequency of breathing during inhalation therapy with as aerosolized drug to result in increased minute volumes. The increased tidal lung volume  
5 results in enhanced pulmonary deposition of the inhaled drug particles, particularly in the lung periphery which may not be fully ventilated at low levels of breathing. The increased minute volume resulting from increased frequency and greater depth of breathing both contribute to the increased minute volume.

10 Administering an aerosolized drug in an air mixture containing up to about 10% carbon dioxide gas results in increased deposition of the drug in the respiratory system, measurably improving efficiency and therapeutic efficacy of the aerosol drug delivery. Preferred concentrations include 2.5%, 5% and 7.5%  
15 carbon dioxide gas. The aerosol may be administered for 1 to 30 minutes or even longer. The enhancing effect of the carbon dioxide is evident within 30 seconds. The respiratory effects of carbon dioxide are transient and can be employed repeatedly.

The following examples are given for the purpose of  
20 illustrating various embodiments of the invention and are not meant to limit the present invention in any fashion.

## EXAMPLE 1

### Materials

PTX was obtained from Xechem (New Brunswick, NJ).  
5 CPT was obtained from Sigma (St. Louis, MO) and 9NC from  
ChemWerth (Woodbridge, CN). Dilauroylphosphatidylcholine  
(DLPC) was purchased from Avanti Polar Lipids (Alabaster, AL).  
DMSO was purchased from Sigma (St. Louis, MO) and HPLC grade  
other organic solvents were obtained from Fisher Scientific. Sterile  
10 water for irrigation came from Baxter Healthcare Corporation  
(Deerfield, IL).

ICR mice (7-8 weeks old) were obtained from Harlan-  
Sprague Dawley (Indianapolis, IN) and housed in standard cages with  
food and water provided *ad libitum*. Female C57BL/6 mice (8-9  
15 weeks old) and female Balb/C mice (5-7 weeks old) were obtained  
from Harlan-Sprague Dawley (Houston, TX). All animal care was in  
accordance with Baylor College of Medicine Institutional Animal  
Care and Use Committee.

The bacterial chloramphenicol acetyl transferase gene  
20 (CAT, p4119, Ref. 15) is primarily used as the reporter gene for  
measuring transgene expression. The CAT gene is under the control

of human cytomegalovirus (CMV) early promoter/enhancer  
element. The luciferase plasmid (pGL3, Promega, Madison, WI)  
modified by insertion of the CMV promoter/enhancer element and  
the human growth hormone polyadenylation sequence was a gift  
5 from Dr. Michael Barry (Center for Cell and Gene Therapy, Baylor).  
All plasmids are purified on Qiagen columns (Qiagen, Valencia, CA)  
and are endotoxin free. The plasmids are quantitated by UV  
absorbance at 260 nm. Agarose gel analysis revealed th plasmids to  
be a mixture of primarily supercoiled plasmid with a small amount  
10 of nicked plasmid.

The plasmid containing the p53 gene was obtained from  
Dr. Y.K. Fung (Children's Hospital, Los Angeles, Ca). The p53 gene is  
under the control of human cytomegalovirus (CMV)  
promoter/enhancer element. The plasmid used as a control  
15 contains the firefly luciferase (Luc) gene and was obtained from Dr.  
Michael Barry (Baylor College of medicine). The plasmids were  
purified commercially by Bayou Biolabs (Harahan, LA), were  
endotoxin free and were quantitated using UV absorbance. Agarose  
gel analysis revealed the plasmids to be primarily in the supercoiled  
20 form with a small amount of nicked plasmid.

B16-F10 melanoma cell line was obtained from Division of Cancer treatment and Diagnosis Center (DCTDC, NCE, Frederick, MD) and cultivated in DMEM supplemented with 10% fetal calf serum. The cell line has been shown to form tumors in the lung (15). Twenty-five thousand B16-F10 cells in 200  $\mu$ l of media are injected per mice via the tail vein of C57BL/6 mice. Lung metastases are visually detected within 2 weeks after inoculation of cells. The cells were used at passages 3-12.

## **EXAMPLE 2**

### **Statistics**

After performing one-way analysis of variance (ANOVA) to compare the means, a two-tailed unpaired Student's *t* test was done. A difference was considered significant if  $P \leq 0.05$ .

## **EXAMPLE 3**

### **Preparation of Liposomes**

Stock solutions of DLPC, PTX and camptothecin are prepared in t-butanol at 100, 10 and 1 mg/ml, respectively, using



previously described methods (17). Aliquots of paclitaxel and DLPC are mixed at a weight ratio of 1:10. The camptothecin to DLPC weight ratio is 1:50. The drug-phospholipid mixture is then frozen in liquid nitrogen and lyophilized overnight to a dry powder. The formulations are stored sealed at  $-20^{\circ}\text{C}$ . Before use the mixture is reconstituted with sterile water for irrigation and vortexed until a homogeneous multi-lamellar liposomal suspension is obtained. The initial concentrations of camptothecin and paclitaxel in suspension prior to nebulization are 0.5 mg/ml and 10 mg/ml, respectively. The size of liposomes before and after nebulization is determined using Nicomp Submicron Particle Sizer Model 370 9NICOMP, Santa Barbara, CA).

#### **EXAMPLE 4**

##### Aerosol particle size characteristics

The characteristics of aerosol particles containing liposomal encapsulated drugs are estimated using an Andersen/AFCM nonviable ambient particle sizing sampler (Andersen Instruments, Atlanta, GA) as described (31). The concentration of drug in aerosols produced by air or gas mixtures

flowing at 10 L/min through AERO-MIST nebulizer is also measured by collecting samples for 3 min starting one minute after aerosolization initiation. The mass median aerodynamic diameter (MMAD) and geometric standard deviation (GSD) are calculated as described (30, 31) using KaleidaGraph 2.0 software (synergy Software, Reading, PA).

#### **EXAMPLE 5**

##### **10 Aerosol delivery of paclitaxel and camptothecin**

The treatment of mice with aerosol is performed as previously described (16-18). Briefly, an AERO-MIST jet nebulizer (CIS-USA, Bedford, MA) is used to generate aerosol particles at the air flow rate of 10 L/min. Mice are placed in sealed plastic cage (23 x18 x 13 cm) and exposed to aerosol for 30 min. The aerosol is generated with normal or 5%CO<sub>2</sub>-enriched air obtained by mixing normal air and CO<sub>2</sub> with a blender (Bird 3m, Palm Springs, CA) and the CO<sub>2</sub> concentrations are calibrated with a Fluid Fyrite (Bacharach Inc., Pittsburgh, PA). At each time point 3 mice are removed from the cage and sacrificed by exposure to Isoflurane, USP (Abbott

Laboratories, Chicago, IL) and exsanguination. Organs are resected, weighed and kept frozen at -70°C until extraction.

#### **EXAMPLE 6**

5

##### Extraction of drug from tissues

Before extraction, samples are thawed and immediately cut in small pieces with scissors. To extract paclitaxel from tissues, 3 ml of ethylacetate is added to each sample and homogenized in a  
10 mini-beadbeater (Wig-L-Bug, Model 3110B, Crescent Dental MFR. Co., Lyons, IL) for 2 min. Homogenates are transferred to 10 ml conical glass centrifuge tubes and centrifuged at 1,000x g for 10 min. The supernatant fraction is separated and organic solvent is evaporated with air. The residue is reconstituted in 0.2 ml of  
15 methanol:acetonitrile (2:1, v/v), sonicated in a water-bath sonicator and centrifuged at 1,000 x g for 10 min. Supernatant fractions are warmed at 37°C for 30 min and analyzed by HPLC.

The extraction procedure for camptothecin and 9NC is as previously described (17). Briefly, after thawing tissue, 20 µg of  
20 9NC in 20 µl is added to organs as an internal standard to determine the extraction efficiency. The samples are cut in small pieces and 1

ml of 0.1% aqueous acetic acid solution, pH 3.2 is added to each sample. After the homogenization in a mini-beadbeater, the homogenates are centrifuged at 1,000 x g for 5 min. The supernatant fractions are re-extracted with 8 ml of methylene chloride. The organic fraction is separated and dried under air at room temperature. The dried samples are reconstituted in 0.2 ml of acetonitrile.

#### **EXAMPLE 7**

10

##### **HPLC analysis**

Paclitaxel is quantified by reverse-phase HPLC monitoring on a Waters 486 UV absorbance detector at 227 nm (Waters, Milford, MA). All measurements are made at room temperature on Waters Nova-Pak C18 column (3.9 x 150 cm). The mobile phase is composed of 49% acetonitrile and 51% water. The flow rate is 1.5 ml/min. A 25 µl aliquot of each sample is injected and data is analyzed with Waters millennium Software. For PTX extraction efficiency determination, identical procedures are performed when a known amount of paclitaxel is added to each tissue and compared to the extracted amount of paclitaxel. The extraction efficiency (%)

is calculated as ((amount of paclitaxel after extraction)/(amount of added paclitaxel)) x 100. For all tested tissues the average extraction efficiency is 89±4% (data not shown) and this index is used to calculate the final concentrations of drug in the tissues.

5 HPLC analysis of camptothecin is performed using a Waters NovaPak C18 column (3.9 x 150 cm) (17). Chromatograms for camptothecin are monitored on Waters 470 scanning fluorescent detector ( $\lambda_{ex}=360$  nm,  $\lambda_{em}=455$  nm) while 9NC is detected using Waters 440 UV absorbance detector monitoring at 254 nm. The  
10 mobile phase is composed of 30% acetonitrile and 70% of 0.1% acetic acid solution in water, pH 3.5 at a flow rate 1.2 ml/min (16,17).

15

### **EXAMPLE 8**

#### Aerosol characteristics of liposome formulations

The properties of CPT-DLPC and PTX-DLPC liposomes and their aerosol characteristics are summarized in Table 1. The  
20 utilization of 5%CO<sub>2</sub>-air did not change the concentration of either drug in the aerosol or their MMAD and GSD (P>0.1; Student's t-test,

two-tailed). The nebulization procedure reduces the size of liposome particles in solution from micron- to nano-particles for both drug formulations. The size of liposomes of CPT-DLPC decreased from  $2.54 \pm 0.91 \mu\text{m}$  before nebulization to  $0.49 \pm 0.07 \mu\text{m}$  after nebulization using the 5%  $\text{CO}_2$ -air mixture. For the PTX-DLPC formulation these values are  $13.14 \pm 12.15 \mu\text{m}$  and  $0.23 \pm 0.17 \mu\text{m}$ , respectively. The aerosol particle size before or after nebulization is not different for either PTX-DLPC or CPT-DLPC administered by aerosol using normal or 5%  $\text{CO}_2$ -air ( $P > 0.5$ ; Student's t-test, two-tailed).

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TABLE 1

Aerosol and liposome characteristics for PTX-DLPC and CPT-DLPC formulations using 5%CO<sub>2</sub>-air versus normal air

Drug Formulation	Air Composition	Drug Concentration in Aerosol, $\mu\text{g/L}$	Aerosol droplets/Liposome particle size, $\mu\text{m}$		After Nebulization
			MMAD $\mu\text{m}$	GSD	
CPT-DLPC, 0.5 mg CPT/ml	Normal	9.0 $\pm$ 1.3	1.6 $\pm$ 0.3	2.1 $\pm$ 0.1	3.72 $\pm$ 1.10
	5% CO <sub>2</sub>	9.2 $\pm$ 1.9	1.7 $\pm$ 0.5	2.3 $\pm$ 0.2	2.54 $\pm$ 0.91
PTX-DLPC, 10 mg PTX/ml	Normal	153.0 $\pm$ 27	2.0 $\pm$ 0.2	1.8 $\pm$ 0.03	12.49 $\pm$ 8.06
	5% CO <sub>2</sub>	175.0 $\pm$ 9	2.2 $\pm$ 0.2	1.9 $\pm$ 0.1	13.14 $\pm$ 12.15
					0.34 $\pm$ 0.11
					0.49 $\pm$ 0.07
					0.13 $\pm$ 0.18
					0.23 $\pm$ 0.17

Values are means  $\pm$  SD (n=3 for each value). MMAD, mass median aerodynamic diameter; GSD, geometric standard deviation

32

## EXAMPLE 9

Tissue distribution and pharmacokinetics of CPT-DLPC after delivery by aerosol generated with normal or 5%CO<sub>2</sub>-enriched air

5 ICR mice are divided into two groups: the first group (n=4) received CPT-DLPC formulation via aerosol generated with normal air for 30 min, so their breathing parameters are not changed during treatment; the second group (n=6) inhaled the same formulation but in the atmosphere of 5%CO<sub>2</sub>-enriched air.

10 Inhalation of aerosols generated with 5%CO<sub>2</sub>-air caused a significant increase in deposition of camptothecin into the lungs (2.1-3.5-fold) (Figure 1). CPT is detected at 134±123 and 476±216 ng/g of lung tissue of mice from the first and second groups, respectively. The use of 5%CO<sub>2</sub>-in-air did not change tissue  
15 distribution patterns. The concentrations of drug in the liver, spleen, kidney, blood and brain after inhalation of CPT-DLPC aerosol generated with 5%CO<sub>2</sub>-air are also increased.

The pharmacokinetic deposition of camptothecin in lungs during and after 30 mins exposure to aerosols of CPT-DLPC  
20 using normal or 5%CO<sub>2</sub>-air is determined (Figure 2). The pulmonary concentrations of camptothecin increased during the treatment with



the maximum concentration (Cmax) at the end of aerosol treatment (30 min.) and subsequently lung concentrations started to decline. The peak respiratory levels are  $232 \pm 158$  and  $486 \pm 78$  ng/g of the tissue for normal and 5%CO<sub>2</sub>-air, respectively. During the 15 min after the aerosol has been stopped, the concentrations of the drug decrease exponentially. Clearance half-lives (T<sub>1/2</sub>) for both treatments are 12-15 min. The profiles of the pharmacokinetic curves are very similar for both types of treatment. Only trace amounts of drug are detected in the lungs 90 min. after the end of aerosolization (120 min. time point) with either air source.

#### **EXAMPLE 10**

15 Tissue distribution and pharmacokinetics of PTX drug after treatment with aerosol PTX-DLPC generated by normal or 5%CO<sub>2</sub>-enriched air

Due to the limitations of the detection method, a liposomal formulation of paclitaxel at 10 mg of PTX/ml suspension is used. Mice are sacrificed halfway through exposure (15 min), at the end of treatment (30 min), and at several time points following

the end of treatment. Mice are exposed to PTX-DLPC aerosol generated with either normal air or air containing 5%CO<sub>2</sub>.

Pulmonary paclitaxel C<sub>max</sub> values are achieved at the end of treatment (30 min) with either air source (Figure 3). In the 5% CO<sub>2</sub>-enriched air group C<sub>max</sub> is 4.2-fold higher than in the ambient air group (23.1±4.3 and 5.5±0.2 µg/g, respectively). This carbon dioxide induced enhancement is unrelated to the liposomal formulation (Figure 4). Sterically stabilized paclitaxel liposomes prepared using dimyristylphosphoethanolamine poly (ethylene glycol) 2000 and dilauroylphosphatidylcholine are deposited in the lung at equivalent levels when 5% CO<sub>2</sub>-in-air is utilized.

Treatment with 5%CO<sub>2</sub> produced 5.7-fold higher area under the lung-concentration-time curve compared to normal air (33.7 and 5.9 µg-hr/g, respectively). In both cases PTX concentrations started to decrease from the pulmonary tissue after the treatment ended. T<sub>1/2α</sub> and T<sub>1/2β</sub> values for paclitaxel in the lungs are 0.3 and 1.6 hr, respectively, when normal air is used for aerosol generation. T<sub>1/2α</sub> is 0.7 hr and T<sub>1/2β</sub> is 5.1 hr for paclitaxel administered by liposome aerosol produced with 5%CO<sub>2</sub>-air. Comparative analysis for the other organs, such as liver, spleen, kidney and blood was performed; however, the levels of paclitaxel in

these tissues using normal air for aerosolization are below detectable levels.

The tissue distribution of paclitaxel after liposome aerosol delivery using 5%CO<sub>2</sub>-air is presented in Table 2. The  
5 highest concentrations of the drug are detected in the lungs. Lower concentrations are found in the other organs. Analysis of the area under the concentration-time curve (AUC) over a 3 hr. period for different organisms using the trapezoidal rule shoes the following  
AUC values for lungs, liver, kidney, blood and brain: 34±2, 9.8±1.9,  
10 2.4±1.4, 2.8±1.5, 0.13±0.10, 0.23±0.2 µg PTS-hr/g of tissue, respectively.

TABLE 2

PTX deposition in tissues during and after 30 min exposure to aerosol PTX-DLPC\* generated with 5% CO<sub>2</sub>-air

Time (hr)	PTX concentration ( $\mu\text{g/g}$ of tissue)					
	Lungs	Liver	Spleen	Kidney	Blood	Brain
0.25	20.3 $\pm$ 7.8	1.5 $\pm$ 0.8	0.6 $\pm$ 0.3	1.4 $\pm$ 0.0	0.25 $\pm$ 0.03	0.14 $\pm$ 0.16
0.5	23.1 $\pm$ 4.3	5.7 $\pm$ 3.0	1.4 $\pm$ 0.9	1.6 $\pm$ 0.1	0.18 $\pm$ 0.08	0.16 $\pm$ 0.02
0.75	18.0 $\pm$ 3.6	5.5 $\pm$ 1.8	0.5 $\pm$ 0.4	1.4 $\pm$ 0.1	0.08 $\pm$ 0.09	0.11 $\pm$ 0.03
1.0	14.8 $\pm$ 9.5	4.8 $\pm$ 3.9	2.6 $\pm$ 2.7	1.2 $\pm$ 0.7	0.07 $\pm$ 0.07	0.11 $\pm$ 0.03
1.5	8.7 $\pm$ 2.8	2.8 $\pm$ 0.8	1.0 $\pm$ 1.6	0.7 $\pm$ 0.3	0.03 $\pm$ 0.06	0.09 $\pm$ 0.08
2.0	6.5 $\pm$ 2.9	3.1 $\pm$ 0.7	0.6 $\pm$ 0.4	0.4 $\pm$ 0.3	0.01 $\pm$ 0.02	0.04 $\pm$ 0.04
3.0	7.1 $\pm$ 2.8	2.3 $\pm$ 0.6	0.5 $\pm$ 0.2	0.4 $\pm$ 0.1	0.01 $\pm$ 0.02	0.05 $\pm$ 0.05

Values are means  $\pm$ SD of three experiments (organs from 3 mice were combined and processed in each experiment)

## EXAMPLE 11

### Effect of carbon dioxide induced respiratory patterns on drug deposition

5           The increased pulmonary drug concentrations found in the lungs after inhalation of 5%CO<sub>2</sub>-in-air could be explained by changed respiratory patterns. Breathing patterns of mice in the atmosphere of 5%CO<sub>2</sub>-enriched air are visually observed to become deeper and slower and to return to normal almost immediately after  
10 the end of treatment. Histological analysis did not reveal any changes in pulmonary tissue. Plethysmograph studies that have been performed by other researchers have demonstrated that inhalation of 5%CO<sub>2</sub>-enriched air increased ventilation in mammals primarily because of the increase in tidal volume  
15 (approximately 170-180%) (18,19). The average pulmonary deposition of camptothecin and paclitaxel increased approximately 2-4-fold. This disproportion with the increase of tidal volume may be due to some other physiological changes in breathing parameters, e.g., breathing frequency, respiratory duration of  
20 inspiratory and expiratory cycles, and minute ventilation (13). By deep and complete expiration with breath holding the retention of

the aerosol increased almost twice in comparison with normal breathing (15).

5

## **EXAMPLE 12**

### Preparation of PEI-DNA complexes

PEI (25 kDa, branched) was purchased from Aldrich Chemical (Milwaukee, WI). A PEI stock solution was prepared at a  
10 concentration of 4.3 mg/ml (0.1 M in nitrogen) in PBS, pH 7-7.5. PEI and DNA are mixed separately in 5 ml water at the required concentrations. The PEI solution is slowly vortexed and the DNA solution is added to it to make a final volume of 10 ml. The mixture is allowed to stand at room temperature for about 15-20 min. before  
15 nebulization. The resulting charge ratio is expressed as PEI nitrogen:DNA phosphate (N:P), which can be calculated by taking into account that DNA has 3 nmol of phosphate per microgram and 1  $\mu$ l of 0.1 M PEI solution has 100 nmol of amine nitrogen. A 10:1 N:P ratio corresponds to a 1:29:1 PEI:DNA weight ratio.

20

### EXAMPLE 13

#### Aerosol delivery of PEI:DNA complexes

Mice are placed in plastic cages that are sealed with tape  
5 before aerosol delivery (48). This is an unrestrained, whole-body  
aerosol exposure system. PEI-DNA complexes are aerosolized using  
an Aero-Mist nebulizer (CIS-US, Inc., Bedford, MA) at 10 liters/min  
flow rate using air or air containing 5% CO<sub>2</sub>. Aero-Mist is a high-  
output, efficient nebulizer demonstrated to produce aerosols in the  
10 optimal range of 1-2  $\mu$ m MMAD with a geometric standard deviation  
(GSD) of 2.9 using an Andersen cascade impactor (Andersen  
Instruments, Atlanta, GA) by a method previously described (50). A  
source of dry air (Aridyne 3500, Timeter, Lancaster, PA) is delivered  
to a Bird 3M gas blender (Palm Springs, CA) attached to an air  
15 compressor and a CO<sub>2</sub> tank. The resulting mixture of air and CO<sub>2</sub> is  
delivered to the nebulizer. The final concentration of 5% CO<sub>2</sub> in air  
is determined using a Fyrite solution (Bacharach, Pittsburgh, PA).  
Nebulization of 10 ml solution took approximately 30 min.

## **EXAMPLE 14**

### CAT assay

Mice are anesthetized and sacrificed after each time  
5 point and the lungs and other tissues are harvested, weighed, and  
immediately frozen. A CAT ELISA kit (Boehringer Mannheim GmbH,  
Mannheim, Germany) is used for measuring in vivo expression.  
The tissues are homogenized in 700  $\mu$ l CAT assay lysis buffer using a  
Wig-L-Bug bead homogenizer (Crescent Dental Mfg., Lyons, II). After  
10 centrifuging the homogenates, 200  $\mu$ l of the extract is used for the  
CAT ELISA performed in a 96-well plate format. The absorbance is  
read using a microtiter plate reader (Molecular Devices, Sunnyvale,  
CA). Naïve mice are used as controls. The CAT activity is expressed  
as ng of CAT/g of tissue using a standard curve prepared with  
15 purified CAT enzyme. The sensitivity of the assay is further  
enhanced according to suggestions from the manufacturer so that it  
can detect levels of CAT protein as low as 0.1-0.3 pg/well.



## **EXAMPLE 15**

### **Luciferase Assay**

Mice are anesthetized and sacrificed and the lungs are  
5 harvested. A luciferase assay kit (Promega) is used to measure  
luciferase expression. The lungs are homogenized in 1 ml of  
luciferase assay lysis buffer using a Wig-L-Bug bead homogenizer.  
After centrifuging the homogenates, 10 µl of the extract is added to  
50 µl of luciferase substrate and the luminescence read for 10 s in a  
10 96-well plate on a luminometer (Microlumat LB 96 P, EG & G  
Berthold, Germany). Naïve mice are used as controls. The  
luciferase activity is expressed as RLU/10s/g of tissue. In this  
system,  $10^7$  RLU corresponds to 1 ng of luciferase using purified  
luciferase from Promega.

15

## **EXAMPLE 16**

### **Histological analysis of tissue sections**

20 Mice are anesthetized with isoflurane and sacrificed by  
exsanguination via the abdominal aorta. Lungs are isolated,

cannulated, and fixed by inflation with 10% neutral buffered formalin, embedded in paraffin, and processed for histological analysis. Thin sections are cut at 4  $\mu$ m and observed under the microscope for any signs of inflammation or toxicity using the hematoxylin and eosin stain.

### **EXAMPLE 17**

#### **10 Myeloperoxidase (MPO) assay**

Twenty-four hours after aerosol exposure, mice are anesthetized with isoflurane and sacrificed by exsanguination via the abdominal aorta. The lungs are harvested after perfusion through the heart with saline. The tissue is homogenized in hexadecyltrimethylammonium bromide (0.5% HTAB in 50 mM phosphate buffer, pH 6.0; 5 ml HTAB/g of tissue) as previously described (51). After centrifugation, the MPO activity in the supernatant is determined using o-diasinidine dihydrochloride (0.167 mg/ml) plus 0.0005% hydrogen peroxide. The absorbance is measured at 460 nm using a microtiter plate reader (Molecular

Devices). The absolute values after 15 min are recorded. Naïve mice are used as controls.

5

### **EXAMPLE 18**

Nebulization of PEI-DNA complexes with 5% CO<sub>2</sub> enhances the transgene expression in lung compared to normal air

Breathing 5% CO<sub>2</sub> in air has been associated with an  
10 increase in the tidal volume and breathing frequency in mice and humans (52-54). When 5% CO<sub>2</sub>-in-air is utilized to deliver the PEI-DNA aerosol, the mice can be visually observed to be breathing deeper and more rapidly. Inhalation of aerosols containing 5% CO<sub>2</sub> could lead to greater inhalation of aerosol particles and  
15 correspondingly higher transgene expression compared to that achieved with aerosol delivered by air due to increased tidal volume and breathing frequency.

PEI-DNA complexes are delivered to Balb/C mice by aerosol using either normal air or air containing 5% CO<sub>2</sub>. A fixed  
20 amount of CAT plasmid (1 mg/10 ml of solution), at a N:P ratio of 10:1, is aerosolized for 30 min as indicated above. The lungs are

harvested after 24 h and CAT assay is performed to determine the degree of transfection. Five percent CO<sub>2</sub>-in-air lead to a three-fold increase (P = 0.001) in the levels of CAT detected compared to aerosol nebulized with air alone (Figure 5). Also, 5% CO<sub>2</sub> does not  
5 change the particle size of the resulting drug-liposome aerosol particles.

Enhancement of PEI-DNA transfer to the lung by aerosol.using different percentages of CO<sub>2</sub>-in-air with a fixed amount of CAT plasmid is also examined. The complexes are  
10 aerosolized using 0%, 2.5%, 5%, 10% and control amounts of carbon dioxide in air. The CAT activity assayed indicates using either 2.5% or 10% provides as good a level of transfection as using 5% CO<sub>2</sub>-in air (Figure 6).

It is possible that enhanced CO<sub>2</sub> has an effect on the  
15 transfection efficiency of PEI-DNA complexes by changing some other physiological parameters. However, CO<sub>2</sub> does not significantly alter the pH of the PEI-DNA solution nor does the particle size of the resulting aerosol droplets, as compared to those of air, significantly change. The increase in transgene expression in the lungs is most  
20 likely due to increased deposition of aerosol particles. Five percent CO<sub>2</sub>-in-air also could help to optimize the aerosol delivery of other

polymer-DNA or cationic lipid-DNA complexes (45). This percentage of CO<sub>2</sub> has been well tolerated by humans and has been shown to increase the minute volume (54,55), so this strategy could be efficacious against pulmonary diseases in humans provided that  
5 the size, geometry and physiology of the human pulmonary system is taken into consideration.

### **EXAMPLE 19**

#### 10 DNA transfer by PEI is dose dependent

To further optimize the transgene expression, the N:P ratio is kept constant at 10:1 and the amount of DNA is varied from 250 µg to 4 mg per 10 ml of the aerosolized solution. This leads to an increase in the reservoir concentration as well as the amount of  
15 total DNA nebulized in the aerosol output.

The nebulized output from the Aerotech II nebulizer was calculated to be approximately 80%. About 72% of the reservoir DNA was delivered to the inhalation chamber as estimated using an all-glass impinger (AGI) (50). The remainder was trapped in the T-  
20 connector and tubing. Based on murine obligate nasal breathing, pulmonary physiology (minute volume and deposition fraction)

(50), and the output concentration of aerosol (4.8 ug/liter), the amount of DNA deposited in the lungs of a mouse is estimated to be approximately 4-5 µg during 30 min of aerosol exposure (for a starting reservoir concentration of 2 mg DNA/10 ml solution).

5 These calculations are based on normal air breathing; the deposition could be higher in the presence of 5% CO<sub>2</sub> due to the increased tidal volume and breathing frequency (53).

The complexes are aerosolized using 5% CO<sub>2</sub>-in-air with 2 mg DNA giving the highest level of CAT expression in the lung  
10 (Figure 7). The levels of CAT measured with 250 µg DNA are not statistically different from control lungs (P=0.34). Also, when 4 mg of DNA is dissolved in 10 ml at a N:P ratio of 10:1, it leads to some visual precipitation of the DNA, which may account for no further increase in the level of CAT detected in the lungs compared to 2 mg  
15 (P=0.51).

It should be noted that there is an increase in both the concentration and the amount of DNA delivered. However, it may be possible to further increase the expression in the lung by increasing the exposure time of aerosol at the optimal  
20 concentrations. These expression levels in the lung are comparable to those using other delivery systems (34).

## **EXAMPLE 20**

### **Optimization of PEI-DNA Ratios**

5                   Although PEI can protect the DNA during nebulization  
and also result in higher transgene expression in the lungs after  
aerosol delivery when compared to most other cationic lipids,  
determination of optimal parameters for gene delivery is beneficial.  
The charge interaction between any cationic vehicle and the  
10 negatively charged DNA is an important factor determining the  
efficiency of the transfection of the complex. Previous studies have  
examined the optimum PEI-DNA (N:P) ratio for transfection in the  
lung (38, 56). However, these studies involved an intravenous mode  
of PEI-DNA delivery. Gene delivery by aerosol could require  
15 different conditions.

To determine the charge ratio that would be ideal for in  
vivo aerosol delivery, different PEI-DNA (N:P) ratios for their ability  
to transfect the lung are evaluated. The amount of DNA is kept  
constant at 2 mg and the PEI concentration is varied to obtain ratios  
20 of 5:1, 10:1, 12.5:1, 15:1, 17.5:1, and 20:1. These ratios are chosen  
based on previous *in vitro* and *in vivo* (by instillation) studies (43).

The complexes are aerosolized using 5% CO<sub>2</sub>-in-air. A N:P ratio of 15:1 gave the highest level of CAT expression in lung, whereas 5:1 resulted in a very low level of CAT expression (Figure 8). There is statistically no difference between 10:1, 12.5:1, 15:1, 17.5:1, and 20:1 ratios (P>0.1), but a significant difference between 15:1 and 20:1 (P=0.05) and between 10:1 and 15:1 (P=0.014).

To determine the optimal ratio for a plasmid other than CAT, different N:P ratios for the expression of the luciferase gene in the lung are tested. The ratios evaluated are 5:1, 10:1, 15:1, 20:1, 30:1, and 40:1. The optimum curve for luciferase shifted to the right compared to CAT, with the highest expression at 20:1 (P<0.05 compared to other ratios) (Figure 8). This suggests that different plasmids might require different N:P ratios; the different size of luciferase plasmid leads to a structurally different complex with PEI compared to that of the CAT plasmid. It could also be due to a difference in plasmid purity and the proportion of supercoiled structure. Still there is a considerable overlap in the optimum N:P ratios of these two plasmids. The optimum ratios for different plasmids may be different. Considering experimental variability, a ratio between 10:1 and 20:1 should work suitably. A ratio lower than 10:1 did not give very high transfection in the lung. These



results are in agreement with those obtained using branched 25K PEI, although the mode of delivery was intravenous (56).

5

## **EXAMPLE 21**

### Time course of CAT expression in lung following single aerosol delivery

CAT expression was also used to monitor the time course of gene expression. The analysis of the persistence of CAT expression following a single aerosol delivery provides important information for planning a treatment regime for therapeutic studies. Two milligrams of CAT plasmid is aerosolized, using 5% CO<sub>2</sub>-in-air, to the mice at two different N:P ratios, 15:1 and 10:1. Different time points examined for the 10:1 group are 1, 2, 3, and 6 days post aerosol exposure. Lungs and other tissues are harvested at different time points and frozen immediately. All tissues are assayed simultaneously after the last time point (day 6). For the 15:1 group the mice are sacrificed 1, 3, 7, and 10 days after aerosol treatment. The lungs are harvested, weighed, and frozen after each time point and the CAT protein is assayed after the last time point (day 10).

For both N:P ratios examined, the CAT expression is highest at 24 h and remains constant (statistically no difference between day 1 and day 3,  $P=0.4$  for the 15:1 ratio and  $P=0.12$  for the 10:1 ratio) for over three days (Fig. 10A and 10B). The CAT level falls to about 50% of peak levels after a week and significant levels are detected even after 10 days ( $P=0.001$  compared to control). This suggests that the delivery may be more than adequate for a variety of clinical applications. The persistence of gene expression up to day 10 is similar to or greater than that of other cationic lipids used for instillation or aerosol delivery of genes (34,58).

## **EXAMPLE 22**

### **15 Tissue distribution of transgene**

Intravenous or intraperitoneal delivery of DNA vectors generally results in expression in a variety of tissues. In order to determine if aerosol delivery of PEI-DNA results in systemic gene delivery, different tissues are harvested from the same group of mice as the above experiment (from the 10:1) group) and the CAT assay is performed after the last time point. The tissues examined

are lung, liver, spleen, kidney, thymus, brain, and blood. The level of CAT detected in non-lung tissues was very low and not significantly different ( $P>0.1$  for all the tissues) from the control tissues (Figure 11).

5           The tissue distribution data show that gene expression following aerosol delivery in this system is confined to the lung, indicating minimal systemic delivery. In contrast to the lung, tissues such as liver, spleen, and kidney, which normally exhibit detectable levels of expression when genes are delivered via intravenous or  
10 intraperitoneal administration, exhibited insignificant or no detectable CAT expression when delivered by PEI-DNA aerosol. This is important if the expression of the gene of interest is to be restricted to the lungs. In other studies, the intratracheal mode of gene delivery has been used to localize the gene to the lungs (58).  
15 However, this is a rather invasive technique compared to aerosol and generally results in less uniform deposition to the peripheral regions of the lung. Aerosol delivery helps to distribute the particles noninvasively and uniformly through out the lungs (49).

### EXAMPLE 23

#### Histological analysis shows no signs of inflammation

In order to determine if aerosol delivery of PEI-DNA  
5 complexes leads to any kind of toxicity or acute inflammation in this  
system, two milligrams of CAT plasmid is complexed with PEI at a N:P  
ratio of 15:1 and the mice are exposed to aerosol for 30 min using  
5% CO<sub>2</sub>-in-air. The mice are sacrificed after 24 h and the lungs are  
fixed in formalin and stained with hematoxylin and eosin. The lungs  
10 did not show any evidence of histological abnormality, e.g.,  
inflammatory cell infiltration or damage to the lungs when thin  
sections are examined (Figure 12). Use of 5% CO<sub>2</sub>-in-air to optimize  
pulmonary gene delivery by PEI-DNA aerosol seems to be safe and  
highly specific for the lung.

15 Although high levels of expression are detected in this  
system even a week after single aerosol exposure, some therapies  
may require repeated and frequent delivery of genes. The effects of  
prolonged PEI-DNA aerosol exposure on lungs and other tissues  
needs to be determined.

20

## EXAMPLE 24

### Myeloperoxidase assay does not reveal any inflammation

Acute pulmonary inflammation is mediated in part by polymorphonuclear leukocyte (PMN) sequestration to the peripheral tissues. A biochemical marker for polymorphonuclear leukocyte is myeloperoxidase (MPO), which is a heme-containing enzyme found in the azurophilic granules and its often utilized as an inflammation marker in the lungs (18). To assess neutrophil infiltration into the lungs, 2 mg of CAT plasmid is complexed with PEI at a N:P ratio of 15:1 and the mice are exposed to aerosol for 30 min using 5% CO<sub>2</sub>-in-air. The mice are sacrificed after 24 h, the lungs were harvested, and the myeloperoxidase assay is performed (Table 3).

The myeloperoxidase contents in the control and aerosol-exposed lungs were not significantly different ( $P=0.92$ ). The myeloperoxidase assay did not reveal any difference between the control and aerosol-exposed lungs, i.e., there is no difference in the absolute absorbance values (OD) between control and aerosol-exposed lungs, even 15 min after incubation of the reaction (OD of 0.078 $\pm$ 0.009 for control and 0.084 $\pm$ 0.004 for aerosol-exposed lungs,  $P>0.5$ ).

**TABLE 3**

Myeloperoxidase (MPO) Assay for Evaluation of Neutrophil

5 Infiltration into the Lungs

<b>Group</b>	<b>Control</b>	<b>Aerosol</b>
Lung MPO activity	0.0398±0.01	0.0404±0.008
<u>(δA/min/g tissue)</u>		

Note. Two milligrams of CAT plasmid was complexed with PEI at a N:P ratio of 15:1 and the complex was aerosolized to five mice for 30 min using 5% CO<sub>2</sub>-in-air. Mice were sacrificed 24 h later, lungs were harvested, and the MPO assay was performed. Values are means±SD (n=5 mice per group).

15

**EXAMPLE 25**

P53 assay

P53 expression was examined using an ELISA kit (Roche Diagnostics, Indianapolis, IN). For in vitro expression, B16-F10 cells grown in tissue culture plates (20,000 cells/well in a 48-well plate) were transfected with PEI:DNA complexes for 24 h. The cultures

were then washed and cells lysed using cell lysis buffer. After centrifugation, 100  $\mu$ l of the lysate was used for p53 ELISA. The p53 levels were normalized to the total protein content measured by the BCA protein assay (Pierce, Rockford, IL). For in vivo expression, mice were exposed to PEI:p53 aerosol, sacrificed 24 h later and the lungs harvested and weighed. The lungs were homogenized in 1 ml of ice cold cell lysis buffer (20 mM Tris, 0.5 mM EDTA, 1% Nonidet P40, 0.05% SDS, 1 mM PMSE, 1  $\mu$ g/ml pepstatin, 2 $\mu$ g/ml leupeptin) using a Wig-L-Bug bead homogenizer (Crescent, Lyons, IL). After centrifugation at 4°C, 100  $\mu$ l of the supernatant was used for p53 ELISA performed in a 96-well plate. The absorbance (450nm) was read in triplicate using a Molecular Devices (Sunnyvale, CA) microtiter plate reader. The amount of p53 was determined using a standard curve prepared with purified p53. The assay can detect p53 levels as low as 10 pg/ml and the linear measuring range of the assay is 50-1000 pg/ml. The total protein content in the lungs was determined using the BCA protein assay.

## **EXAMPLE 26**

### P53 expression in mouse lung following aerosol delivery of PEI-p53 complexes

5                   PEI-p53 complexes are prepared as done for PEI:DNA complexes described above. Two milligrams of p53 plasmid is complexed with polyethyleneimine at a PEI:DNA (N:P) ratio of 10:1 and aerosolized to the C57BL/6 mice using 5% CO<sub>2</sub>-in-air. Mice were placed in plastic cages that were sealed with tape before  
10 aerosol delivery. This is an unrestrained, whole body aerosol exposure system. PEI-p53 complexes were aerosolized using an Aero-Mist nebulizer in the presence of 5%CO<sub>2</sub> as described for aerosolization of polyethyleneimine:CAT complexes previously herein.

15                   P53 expression in lung was analyzed by ELISA 24 h after aerosol delivery of the PEI-p53 complexes to the mice. Aerosol delivery of complexes lead to about a four fold increase in the levels of p53 detected in the lung tissue compared to that detected in the lungs of naïve mice. The level of p53 in the control mice is  
20 0.0398±0.01 pg/mg protein and the level in the aerosolized mice is 0.0404±0.008 pg/mg protein (values are means±SD) (59). Exposure



to PEI-Luc did not result in any increase in the p53 levels (data not shown).

5

## **EXAMPLE 27**

### Inhibition of B16-F10 lung metastasis by aerosol delivery of PEI-p53

C57BL/6 mice were injected intravenously with 25,000 B16-F10 cells on day 0. The mice were treated with polyethyleneimine-p53 aerosol complexes generated using 5% CO<sub>2</sub> twice a week starting the day after inoculation of the cancer cells into the mice (on days 1, 4, 8, 11, 15, 18, and 22) with the last treatment on day 22 postinjection (a total of seven aerosol exposures). Control groups included untreated mice, mice treated with polyethyleneimine or with polyethyleneimine-Luc aerosol complexes. The control animals start dying around day 24 post tumor cell inoculation, which is when the therapy was stopped and the experiment terminated. The dosage of treatment was 2 mg plasmid/10 ml of aerosolized solution at a polyethyleneimine:DNA (N:P) ratio of 10:1. This is the total amount of DNA aerosolized to the mice. The amount of DNA delivered per mouse is estimated to

be about 4-5  $\mu\text{g}$  in the presence of normal air and is increased in the presence of 5%  $\text{CO}_2$  due to the increase in tidal and minute volumes.

On day 24 post tumor inoculation, the mice were sacrificed and the lungs fixed and tumor index was calculated. The

5 mice treated with PEI-p53 had a very low tumor index ( $P < 0.001$  compared to all other groups) whereas all the control groups had

large number of tumor nodules (Figures 13A, 13B). A majority of untreated mice and mice treated with either polyethyleneimine

alone or with polyethyleneimine-Luc had numerous uncountable

10 tumor nodules with concomitant invasion into the chest wall and had metastases in extrapulmonary tissue such as the neck and

abdominal lymph nodes. However, all of the mice treated with

polyethyleneimine-p53 complexes had very small and distinct tumor foci with no invasion into the chest wall and no extrapulmonary

15 metastatic tumors. There was no effect of 5%  $\text{CO}_2$  alone on the growth of tumors compared to untreated mice (data not shown).

The lung weights also showed a significant difference ( $P < 0.01$ )

between PEI-p53 treated group and all the control groups (Figure 13C).

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Any patents or publications mentioned in this specification are indicative of the levels of those skilled in the art to which the invention pertains. These patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

One skilled in the art will readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The present examples along with the methods, procedures, treatments, molecules, and specific compounds described herein are presently representative of preferred embodiments, are exemplary, and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the spirit of the invention as defined by the scope of the claims.